Regular Expressions & Finite State Machines

### Main ideas

Regular expressions / grammars can be expressed with a finite state machine (FSM)

- Also called finite automata (FA)
- Used to describe and recognize tokens
- Can be deterministic (DFA) or non-deterministic (NFA)

Two related challenges:

- Recognizing the longest substring corresponding to a token
- Separating a lexeme from the rest of the input string

## Finite state machine (FSM)

Finite state machine (FSM), also called finite automata (FA), is a state machine that takes a string of symbols as input and changes its state accordingly. It consists of:

- *Q* Finite set of states
- Σ Alphabet: a finite set of input symbols
- $Q_0$  An initial start state,  $Q_0 \in Q$
- $Q_f$  Set of final states,  $Q_f \subseteq Q$
- $\lambda$  Transition function that describes how to move from one state to another. Defined as:  $s \in Q$  and  $a \in \Sigma$  implies  $\lambda(s, a) = t$  for some  $t \in Q$

When a string is fed into the FA, it changes its state for each literal.

- If the input string is successfully processed and the FA reach its final state, it is *accepted* (i.e., the input string is a valid token of the language)
- Languages recognized by FA are the languages described by REs.

## FSM represented as a digraph

- Each node represents a state; edges represent transitions
- Transitions are labeled with a symbol from the alphabet  $\Sigma$  or the empty string  $\epsilon$
- Of all states Q, there is a start state and at least one final (accepting) state
- The language recognized by finite state machine M is denoted  $L(M) = \{w \in \Sigma^* \mid (S, w) \rightarrow^* (Y, \epsilon)\}, \text{ where } Y \in F$

### Example FSM

### How FSMs are drawn



а

Start state

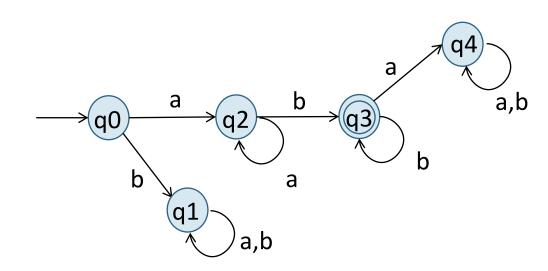
Can only transition from first to next state through the edge if next character read is a



Final state

A string is **accepted** if it can be read from the start state, transition through states, and end at a final state.

Otherwise, it is rejected.



Accepts the strings:

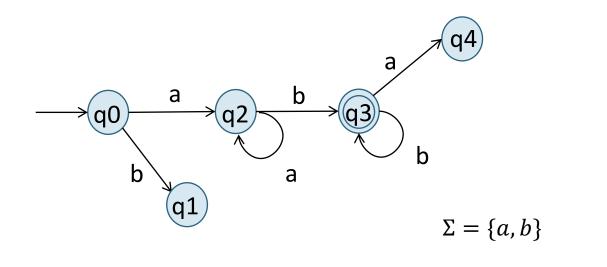
- ab
- aabb
- abbb
- . . . .

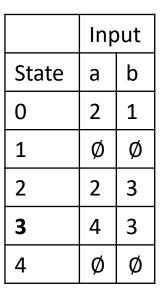
### What language does this recognize? a+b+

### Represented as state-transition table

State machine as digraph

Can also be represented as a state transition table





**Note**: Transitions not shown immediately go a null 'reject' state (omitting them is less cluttered and easier to read)

### Example with $\Sigma = \{a, b, c\}$ Input State а b С а 1 b С 0 Ø Ø а (q2)-≯q3 q1 **q**0 2 1 Ø Ø 2 Ø Ø 3 3 4 Ø Ø

Accepted or rejected?

- Input string: abca
- Input string: ccba
- Input string: abcac

4

Ø

Ø

Ø

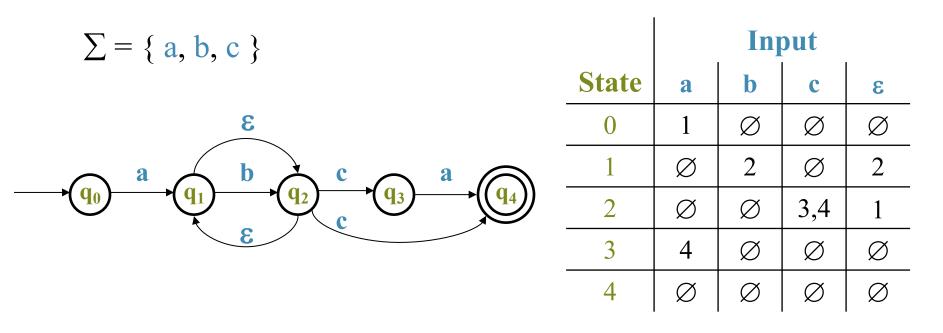
### Determinism

A finite automata is deterministic (DFA) or non-deterministic (NFA).

- It is **deterministic** if its behavior during recognition is fully determined by the state it is in and the symbol to be consumed
  - Given an input string, only one path may be taken through the FA
- It is **non-deterministic** if, given an input string, more than one path may be taken.
  - One type is  $\epsilon$ -transitions, which consume the empty string  $\epsilon$  (no symbols)

**Theorem.** Any DFA can be expressed as an NFA. Moreover, any NFA can be expressed as a DFA!

### Example NFA



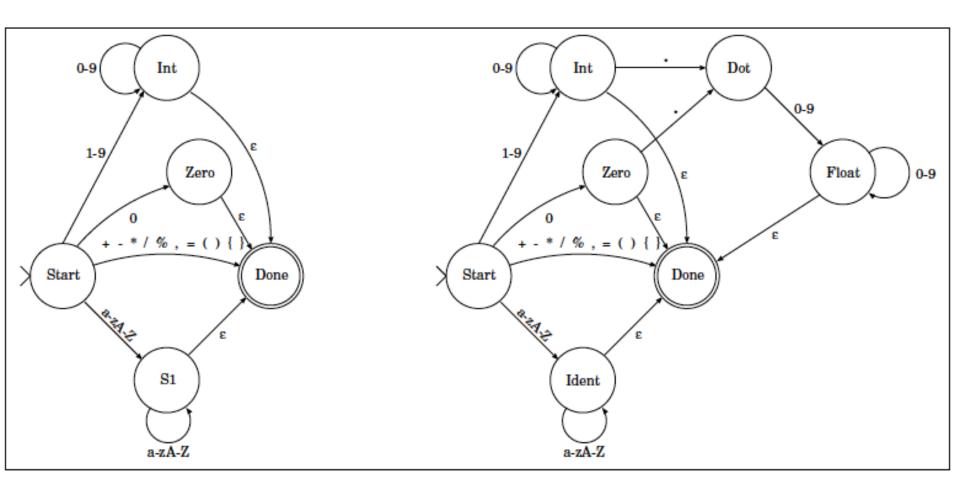
Exercise: This NFA is equivalent to what regular expression?

### PDef: Parenthesized Definitions

{ float a, a = 3, { int b, b = 4, a = b\*a }, a = a+4.0 }

Token Class	Regular Expression	<b>Termination Characters</b>
addT	+	any character
subT	-	II
mulT	*	II
divT	/	11
modT	%	11
commaT	,	11
assignT	=	11
lpT	(	11
rpT	)	11
lcbT	{	II
rcbT	}	11
typeT	int   float	non-letter
intT	$0 \mid [1-9][0-9]^*$	non-digit
fltT	$(0   [1-9][0-9]^*) . [0-9]^+$	non-digit
identT	$[a - zA - Z]^+$	non-letter

### FSM for PDef



### Theory to Practice

- Need to represent the states, represent transitions between states, consume input, and restore input
- Create an enumerated type whose values represent the FSM states: Start, Int, Float, Zero, Done, Error, ...
- Keep track of the current state and update based on the state transition

```
state = Start;
while (state != Done) {
    ch = input.getSymbol();
    switch (state) {
        case Start: // select next state based on current input symbol
        case S1: // select next state based on current input symbol
        ..
        case Sn: // select next state based on current input symbol
        case Done: // should never hit this case!
    }
}
```

```
while (state != StateName.DONE S) {
    char ch = getChar();
    switch (state) {
        case START S:
              if (ch == ' ') {
                    state = StateName.START S;
              }
              else if (ch == eofChar) {
                    type = Token.TokenType.EOF T;
                    state = StateName.DONE S;
              }
              else if ( Character.isLetter(ch) ) {
                    name += ch;
                    state = StateName.IDENT S;
              else if ( Character.isDigit(ch) ) {
                   name += ch;
                    if (ch == '0') state = StateName.ZERO S;
                    else state = StateName.INT S;
              }
              else if (ch == '.') {
                    name += ch;
                    state = StateName.ERROR S;
              }
              else {
                   name += ch;
                    type = char2Token( ch );
                    state = StateName.DONE S;
               break;
```

# FSM Practice

Join your team to work through the exercises Each individual will submit docx file to Moodle

@mention me if questions on practice or environment setup